**Adoption of Sustainable Agriculture Practices among Kentucky, USA Farmers[[1]](#footnote-1)**

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**Adoption of Sustainable Agriculture Practices among Kentucky Farmers**

*Abstract*

Promoting the best management practices at an individual farm level is essential to ensure agricultural sustainability. This study analyzed whether and how various factors related to farm or farmers’ characteristics influence the intensity of adoption of sustainable agriculture practices. We used a negative binomial regression model in data collected from a mail survey of farmers in Kentucky, USA. Our results showed that adoption intensity of sustainable agriculture practices varied significantly among agricultural districts in Kentucky. Farmers who grew row crops, had irrigation facilities, and were in favor of crop diversification were significantly more likely than their respective counterparts to adopt more sustainable agriculture practices. Similarly, college education and participation in the Tobacco Buyout Program also positively and significantly affected the intensity of adopting sustainable agriculture practices among Kentucky farmers. In contrast, a lack of adequate knowledge about sustainable farming and unfamiliarity with technology significantly and negatively related to less adoption of sustainable agriculture practices.

*Keywords:* Adoption Intensity, Best Management Practices, Small Farmers, Negative Binomial Regression, Kentucky.

1. **Introduction**

The U.S. Congress (1990) defined sustainable agriculture as the integrated system of animal and plant production practices that satisfy human food and fiber needs, enhance environmental quality by making the most efficient use of non-renewable resources, sustain the economic viability of farm operations, and enhance the quality of life. Sustainability in agriculture is a complex and dynamic concept, including a wide range of environmental, social, economic, and resource use issues that changes with the time, location, society, and priorities. It is intended to minimize the amount of external inputs added to maximize agriculture output/production and maintain farm resources achieving socioeconomic, environmental, economic welfare, and quality of life without harming the environment, public health, communities, and animal welfare (Kornegay et al. 2010; Pretty, 2008). Sustainable agriculture entails understanding the benefit of ecological and agronomic management, especially in regards to its manipulation and redesign to shift a farming system towards a natural system without reducing productivity (Pretty, 2008).

Ensuring sustainability in agriculture requires the integration of sustainable agriculture practices (SAPs). SAPs are specific farming techniques or means to achieve the agriculture sustainability of individual farms. Sustainable agriculture adopts productive, competitive, and efficient practices while protecting and improving the environment and the global ecosystem, as well as the socio-economic conditions of local communities. Also, SAPs do not exclude external inputs but encourage incorporating them to complement local resources (Zaharia, 2010). While there are some widely applicable standards, SAPs are not “one-size-fits-all” prescriptions but uniquely designed for the best management practices to address the uniqueness of farming systems (Lashgarara, 2011).

The concerned on the issue of sustainable agriculture can be traced back to 1950s when general public started to raise environmental concern (Pretty, 2008). National Research Council also sustainable published reports *Alternative Agriculture* in 1989 and *Towards Sustainable Agricultural Systems in the 21st Century* (Kornegay et al., 2010). Also, Baumgart-Getz et al. (2012) and Prokopy et al. (2008) studied literature related to sustainable agriculture practices adoption among US farmers from 1982 to 2007. Despite its long history, the issue is still one of the most debatable issue to address in the modern world as well.

The adoption of sustainable agriculture possesses several long and short-term benefits to farmers, society, and the nation as a whole. In the long run, adoption of SAPs can replace some or all external inputs in agriculture systems. The adoption of sustainable agriculture emphasizes the benefits which is an output of making the best combination of the resources that a farmer possesses (Pretty, 2008). Soil and water conservation related SAPs help to maintain the water table, increase carbon sequestration, improves soil fertility, and protects land from erosion reducing sediments load from agriculture lands. Adoption of SAPs also brings other benefits such as an increase in net present value, reduction on-farm costs, labor and time saving (Knowler and Bradshaw, 2007). Designing, promotion, and adoption of location-specific sustainable agriculture practices are one of the important tools in protecting the environment, water quality, and agricultural land (Greiner et al. 2009). SAPs are also necessary means to reduce investments by billions per year in designing different conservation programs to reduce the pollution from agriculture lands (Mullendore et al. 2015).

Despite the widespread benefits and positive impacts of sustainable agriculture practices, the adoption of these practices is low in Kentucky due to various factors, including social and economic factors as well as policies that discourage fundamental changes in farming systems. Being specific to this research, very less research has been conducted in Kentucky addressing the socioeconomic and behavioral aspect of sustainable agriculture practices adoption. This leaves a huge research gap giving big opportunities to conduct research on this aspect. We included thirty one different sustainable agriculture practices commonly adopted among Kentucky farmers to understand how farmers respond to the adoption of set of sustainable agriculture practices. So, the originality of paper lies on the study of adoption of set of practices from social and economic aspects in relation to the context of Kentucky. Practices included in this research as commonly adopted SAPs among Kentucky farmers are listed below.

**<< Insert Table 1 >>**

The overall objective of this research was to investigate factors that affect adoption intensity of sustainable agriculture that were identified as commonly adopted practices among Kentucky farmers. This was achieved by conducting a farmers’ survey which provided the required data to develop a predictive model of SAP adoption.

The rest of the paper proceeds as follows. In section 2, we provide broad literature related to SAPs. We present the conceptual model in section 3. We explain data and model related details in section 4. In section 5, we describe the results and implications. We conclude the paper in section 6.

1. **Literature Review**

The adoption decision making process of SAPs is influenced by several factors such as farmers’ knowledge and skills, existence of and connections to a market for the commodities they produce, agricultural policies and regulations, available resources, geographic features of the farm, and economic, social, and conservation motivations (Greiner et al. 2009; Kornegay et al. 2010; Lashgarara, 2011). Farmers with irrigation facilities are more likely to adopt soil conservation practices like cover crops (Carlisle, 2016; Snapp et al., 2005).

Socio-demographic factors such as age, land tenure, and cognitive factors such as knowledge and attitude towards a program are also believed to influence adoption of SAPs (Kabii and Horwitz, 2006). Older farmers are less likely to adopt new practices which they are not very familiar with (Awan et al. 2015; Baumgart-Getz et al. 2012; Kabii and Horwitz, 2006). But, farmers are positive and more likely to adopt sustainable agriculture practices as they achieve higher level of formal education (Soule, 2001; Upadhyayet al*.* 2003). Factors such as income, education level, access to information, capital, positive environmental attitudes, environmental awareness, and farm size, generally have a positive impact on the adoption rate of SAPs (Carlisle, 2016; Prokopy et al., 2008). Hall et al. (2009) found that among floriculture farmers with a farm size of 1-5 acres, the relation is significant and positive with the adoption of SAPs, but not with other land sizes.

Access to quality information and extension training have a positive and significant impact on the adoption of best management practices (Baumgart-Getz et al. 2012). Farmers with better knowledge, education, and access to information have a positive impact on the adoption. In addition, knowledge, education, and access to information help to reduce other perceived barriers about practices (Carlisle, 2016). Also, networking and outreach activities among farmers motivate them to adopt and expand the adoption of SAPs. In Kentucky, the conservation agriculture was widely spread among farmers as a result of networking and the innovativeness of the system. The spreading of conservation practices gave a different direction to the agriculture and environment as well as the adoption of new practices in Kentucky (Coughenour, 2003).

Researchers have found that the relationship between farm size and the adoption of soil health-related practices are complicated. Farmers with sloping or highly erodible land are more likely to adopt soil conservation practices (Carlisle 2016; Soule et al. 2001). A study among Brazilian farmers found that the probability of adoption of environmentally friendly practices decreased with an increase in farm size, but increased with increased awareness about the negative effect of chemicals on health and the environment (Filho et al. 1999). However, the use of erosion control practices had a positive relationship with farm size among farmers in eastern Uganda (Barungi et al. 2013). Small farmers are more motivated to adopt soil health-related practices and relate their adoption behavior to environmental problems than large farmers. Also, small farmers identify the problem of soil erosion faster than larger farmers but are less likely to adopt technologies to reduce erosion. This may be due to higher investment in technologies per unit of land (Carlisle 2016).

Awareness, attitudes, available resources, and incentives influence the adoption of environmentally friendly practices among Michigan farmers. The study concluded that farmers’ hesitation to adopt such practices were attributable to the misperception that SAPs are less profitable and SAPs require skilled and expensive labor (Swinton et al. 2015). However, farmers who have already adopted SAPs develop positive attitudes about practices and are motivated to adopt more in contrast to non-adopters, who are motivated by potential yield benefits. The protection of the environment, land conservation, belonging to the land, motivation to make changes and off-farm benefits are some of the motivations to adopt SAPs (Carlisle 2016).

Policies can have both positive and negative relationships with the adoption of SAPs. Policies like Farm Bill conservation programs have a positive impact on the adoption of SAPs. Farmers who have already participated in conservation programs are motivated to make long-term investments to adopt SAPs (Coughenour 2003; Carlisle 2016). A conservation reserve program was helpful to increase the adoption of SAPs to reduce erosion, but the pace of adoption is slow. However, Risk Management Agency policy prevents the adoption of cover crops as farmers may lose their insurance after adopting those cover crops (Carlisle 2016).

Adoption of SAPs has been well accepted as one of the tools for achieving environmental improvement in agriculture (Greiner et al. 2009). Kabii and Horwiz (2006) found that conservation easement is affected by socioeconomic, farm attributes, geography, behavior, attitude, and knowledge factors. Similarly, Knowler and Bradshaw (2007) summarized factors influencing the adoption of conservation tillage all around the world. Prokopy et al. (2008) summarized U.S. based research focusing on the adoption of sustainable agriculture practices during the last twenty-five years. Mullendore (2015) found that place attachment and place identity among farmers in Midwestern Agriculture have significant effects on conservation practices adoption. Similarly, among Michigan farmers, the adoption of new, environmentally friendly management practices was influenced by attitudes, available resources, and incentives (Swinton et al. 2015). In aggregate, the existing literature collectively indicates that the adoption of SAPs is affected by various socioeconomic, demographics, farm attributes, knowledge, behavior, and attitudes not only in the USA but throughout the world. Also, factors that affect the adoption of sustainable agriculture practices are not consistent throughout the world in the sense that one variable that appears to be statistically significant with a positive sign may not necessarily be statistically significant and possess the same direction in other locations (Baumgart-Getz 2012). However, research focus on determinant variables of SAPs adoption that are generally significant across different geographical areas can improve an overall adoption rate of SAPs (Prokopy et al. 2008).

A table of relevant literature cited in this paper is tabulated below summarizing their major findings:

**<< Insert Table 2 >>**

**4. Research Method**

*4.1 Study Area*

The study area of this research is the state of Kentucky, USA (Figure 1). Western Kentucky contributes significantly to the state’s agricultural sector. This region is more mechanized than the other parts of the state. Central Kentucky is more urbanized compared to other regions. It has three big cities and several other small and growing urban centers. However, this area also has the highest number of farmers. This region is known for having large acres of farmland and a large number of farmers. Eastern Kentucky has fewer agriculture enterprises compared to the other regions of the state. This region is occupied by the Appalachian Mountain range and is also well-known for coal mining and reclaimed lands.

According to the Agriculture Census 2012, Kentucky has about 77,000 farmers and 13 million acres of land used for agriculture. The average size of a farm is 169 acres. The majority of farmers have less than 500 acres of farm. Most of the farm operators in Kentucky are small farmers with age above 45. Looking at the trend over some decades, the number of farmers is decreasing, the average size of each farm is increasing, and the average age of farmers is also increasing (NASS 2015).

The adoption of sustainable agriculture practices also varies with the agriculture district in Kentucky. Western Kentucky is well known for the commercial agriculture production and flat plain agriculture lands. So use of precision agriculture, computer and large farm machinery are some of the most commonly adopted practices adopted in that region. However, Eastern Kentucky is well known for coal mining. So practices such as use of animal for land reclamation is more applicable to Eastern Kentucky (Larkin et al., 2008). Some sustainable agriculture practices which has common application such as reduced use of chemicals, cover cropping and green manuring, use of manure as fertilizer, controlled grazing are some of the most commonly used practiced throughout the state.

*4.2 Sampling Procedure Applied*

A survey questionnaire was developed to ask respondents about their farm characteristics, current farming practices and knowledge and attitudes towards sustainable agriculture practices. The survey questionnaire was tested among small farmers all over the State of Kentucky in “Third Thursday Thing”—an outreach program on every third Thursday of each month—at the Kentucky State University Research Farm. Final survey questionnaire incorporated suggestions made by the participants. The annual gross sales value of farm outputs and agriculture districts were used for double-stratified sampling to select samples from all agriculture districts. The annual gross sales value and agricultural districts were taken as a reference while stratification for the proportional representation of farmers with different income levels throughout the state and farmers from different agriculture districts with different agricultural characteristics, respectively.

*4.3 Data Collection Techniques Used*

A mail survey, followed by phone calls, was conducted by The National Agriculture Statistics Service of the United States Department of Agriculture (NASS/USDA) from September 10, 2015 to January 13, 2016. One thousand surveys requesting information for the production year 2014 were mailed to farmers across Kentucky from the North Carolina Print Mail Center. Survey responses were returned and documented at Regional and Field Office (RFO) of USDA/NASS in Louisville, Kentucky. Surveys were randomly cross-verified by USDA/NASS staff and demographic and farm attributes summaries were cross-checked with the 2012 United States Census of Agriculture.

*4.4 Definition of Variables*

*4.4.1 Dependent Variable*

We found that majority of the farmers are non-adopters of the sustainable agriculture practices. Only 34.68% of farmers have adopted sustainable agriculture practices, and 65.32% of farmers have not adopted any types of agricultural practices that were identified as the most commonly adopted sustainable agriculture practices among Kentucky farmers by this research. Among adopters, the majority of farmers have adopted 1-7 different practices. About 22.37% of farmers have adopted 1-7 practices, 9.39% of farmers have adopted 8-14 practices, and only 0.65% of farmers have adopted more than 21 sustainable agriculture practices. At most, a single farmer has adopted up to 28 different types of most commonly adopted sustainable agriculture practices identified in this research.

**<< Insert Table 3 >>**

We found that farmers adopted thirty-one different types of sustainable agriculture practices throughout the state. “Manure distribution as fertilizer” was the most adopted practices by farmers followed by “reduced use of chemicals.” Agriculture practices such as precision agriculture, polyculture farming, reforestation, and mulching are adopted by only a few farmers. The results suggest that the easiness in the adoption process, technical skills requirements, investment, and income play important roles in farmers’ decisions of whether and which sustainable agriculture practices to adopt for their farm. Highly adopted practices among Kentucky farmers are less expensive as well as easy to adopt, and the least adopted practices are highly skill based and investment demanding. The most commonly adopted practices and their adoption intensity are shown in Figure 2.

**<<Insert Figure 2 here>>**

The description of variables and their descriptive statistics are given in Table 2. The dependent variable *(SAPs)* is the count variable (non-negative whole numbers) that shows the total number of sustainable agriculture practices adopted by Kentucky farmers who responded to the survey. The value of the dependent variable ranged from 0 to 28.

**<<Insert Table 4 here>>**

*4.4.2 Independent Variables*

Based on the literature review presented in section 2, the adoption of SAPs is affected by various socioeconomic factors, demographics, farm attributes, knowledge, education, behavior, and attitude. Fourteen explanatory variables related to these factors were used for the analysis: *Crops* (row crops growers), *Veggies* (vegetable growers), *Livestock* (livestock farmers), *Irrigation* (irrigation facilities in farm), *Diverse* (in favor of farm diversification), *Solo Proprietorship* (single owner of farm), *Off-Farm Work* (working off-farm for income), *Age* (year), *TBP* (participation in Tobacco Buyout Program), *College Degree* (education level of farmers with college degree or above completed), and *Land Operated* (Acres). Three barriers to adoption of sustainable agriculture practices—*Happy* (happy with current practices reflecting the attitude of farmers toward SAPs), *Implementation Difficulty* (perceived difficulty of implementation), and *Inadequate Knowledge*—were also used as independent variables in the model. These were the top three barriers marked by respondents in the survey. Six dummy variables are created based on crop growing regions of Kentucky to examine spatial impacts of on the adoption of sustainable agriculture practices. These crop growing areas are shown in Figure 1.

*4.5 Econometric/Empirical Model*

Farmers adopt SAPs for various reasons. Some farmers adopt SAPs because they believe these practices increase yield (and consequently net returns) associated with farming whereas others believe that SAPs are good for the environment. Also there are farmers who adopt SAPs considering economic and environmental benefits. Whether farmers adopt the technology for a yield/profit reason or for an environmental quality reason, they believe that adopting SAPs give them higher utility than not adopting the technology. We believe that farmers adopt a higher number of SAPs because they perceive more number of SAPs adoption or more SAPs applied in more acres of land give them higher utility than otherwise. We can write this as: . The probability of adopting SAPs can be shown as:

Here,is a matrix of explanatory variables, is the parameter vector, (and ) is error term, F is the cumulative distribution function, i = 1 when SAPs are adopted, and i = 0 when no adoption occurs (Gillespie et al 2007).

When the variable of interest is a count variable which is our case with the total number of SAPs adopted by farmers, a count data model is necessary. The number of SAPs adopted by farmers is a function of several independent variables identified in Table 2. The model can be written as:

+ … +

whereis the number of sustainable agricultural practices adopted by farmer , *bo* is the intercept of the regression model, *b1, b2, …, bn* are coefficients of respective predictors (Coxe et al. 2009). is the intensity of rate parameter. Given is a count variable, we consider two linear exponential family distributions (Poisson and negative binomial) for analyzing the number of SAPs technologies adopted by farmers. In the Poisson distribution mean and variance are assumed equal, which generally is not the case. When this mean-variance equality assumption is violated, it is called an over dispersion problem. In such cases, the Poisson regression parameters will be inefficient. We can estimate a negative binomial model which produces coefficients that are robust to distributional misspecification as long as the dispersion parameter is known and the variance function is correctly specified.

*4.7 Data Analysis Techniques Applied*

Data were analyzed using SPSS 24.0. To address the disproportionate response rate among strata, the post-stratification weight was applied using the equation:

*Wih = rPh/rh*

For each sample case in the post-stratum *h*, where *rh* is the number of survey respondents in the post-stratum *h*, *Ph* is the population proportion from the U.S. Census 2012, and *r* is the respondent sample size (Little, 1993).

1. **Results and Discussion**

We compared the mean and variance of the number of practiced by farmers and find that those are not equal. Additionally, the likelihood ratio chi-square test is conducted to find if the dispersion parameter alpha is equal to zero. The test statistics indicate that SAPs are overdispersed and are not sufficiently described by the Poisson distribution. Therefore, we estimate an NBR model to understand the adoption intensity of sustainable agriculture practices by Kentucky farmers. The result of the NBR model shows that variables *Crops, Veggies, Irrigation, Diverse*, and *College Degree* were positive statistically significant at a 1% level. Also, variable *TBP* was positive and significant at a 5% level. Variables *Implementation Difficulty* and *Inadequate Knowledge* were statically significant at a 1% level, and *Age* was statistically significant at a 5% level, but all of these variables had negative signs in the model. Regional variables were statistically significant in the model at various significant levels. *AgDist\_2* and *AgDist\_4* were significant at a 1% level with positive signs, whereas *AgDist\_3 and AgDist\_5* were significant at a 5% level and both have negative signs.

**<< Insert Table 5 here>>**

We interpret the regression results as incident rate ratios by exponentiating the regression coefficients (the last column in Table 3). The variable *Crops* (farmers growing row crops) and *veggies* (farmers growing vegetables) were a significant predictor of adoption of sustainable agriculture practices in Kentucky. The results show that the incident rate for SAPs adoption for farmers growing row crops were 2.294 times the incident rate for the farmers without row crops. The incident rate for farmers growing vegetables was 1.511 times the incident rate for the farmers not growing vegetables. Several researchers have identified the role and importance of high value, short season, and cover crops to improve soil health, reduce pest infestation, weed control, and reduce the use of chemicals (Lichtenberg 2004; Singer et al. 2007; Snapp et al. 2005; Teasdale 2013). In addition, the adoption of sustainable agriculture is a common practice among vegetable farmers. The use of approaches that can enhance vegetable production safely has been increasing with increased interest of consumers in organic and healthy vegetables (Simmons 2008).

The *availability of irrigation facility* had a positive and significant effect on the adoption of sustainable agriculture practices among Kentucky farmers. The incident rate for farmers with irrigation facilities on the farm *(Irrigation)* was 1.876 times the incident rate for farmers without irrigation facilities. Awan et al. (2015) also found that availability of water had a positive impact on the adoption of sustainable agriculture practices among wheat-cotton farmers.

The incident rate for farmers in favor of farm diversification *(Diverse)* was 2.072 times compared to their counterparts. A significant predictor of the adoption of sustainable agriculture practices is whether farmers are in favor of diversifying their farms. Those farmers who were in favor of diversifying were also likely to adopt more sustainable agriculture practices compared to farmers who did not favor diversification. Farmers diversify their farms by adding high-value crops, short season crops and vegetables, and cover crops, which are helpful in weed control, reduce the use of chemicals, and improve soil health and fertility and ensure improved crop production (Lichtenberg 2004; Singer et al. 2007; Snapp et al. 2005; Teasdale 2013).

Also, the incident rate for farmers who participated in the Tobacco Buyout Program *(TBP)* were 1.286 times compared to other farmers who did not participate in the TBP. The U.S. government has collectively spent billions of dollars in designing policies that shape agriculture and facilitate the conservation programs through different farm bills (Mullendore et al. 2015). These Farm Bill programs also transition farmers from tobacco to different crops that may have provided positive motivations for farmers who participated in the TBP program to adopt sustainable agriculture practices. Litchenberg (2004) found that the adoption of several soil and water conservation practices are responsive to the USDA/NRCS cost-sharing program. The increase in the cost of the practice reduces the adoption of conservation practices among Maryland farmers. Also, the interaction between different conservation practices may be less costly reducing the share of the cost. It may increase the adoption of conservation practices. Several other researchers also have found that the adoption of management practices related to soil health was enhanced by the Farm Bill Conservation Program (Carlisle 2016; Coughenour 2003; Soule 2001).

Several studies about sustainable agriculture practices shows that policy factors plays an important role in the adoption process. This is also true among Kentucky Farmers. A research conducted by Zhong and Hu (2015) and Da Costa et al. (2012) also found that Kentucky farmers who participated in the conservation program from USDA are more likely to adopt best management practices. Also, Da Costa et al. (2012) also mentioned that the possible penalties for not complying with the Agriculture Water Quality Act might influence the SAP adoption and participation in conservation programs in Kentucky. These literature and research evidences suggests that policy factor is equally important to consider in the study of adoption of SAPs. However, this research study was unable to study impact of other policies except TBP. The impact of governmental and non-governmental policies in the adoption of SAPs can be another complete and in-depth study in Kentucky.

The incident rate for farmers with formal education level above college degree *(College Degree)* was 2.097 times compared to farmers without a college degree. This can be attributed to their awareness and better understanding of the benefit of adopting sustainable agriculture practices (Awan et al. 2015). Kabii and Horwitz (2006) also found that the attitude of farmers plays a role in the adoption of conservation agriculture. Lashgarara (2011) found that the education, knowledge, and attitude of farmers are significantly correlated with the adoption of sustainable agriculture adoption. Baumgart-Getz (2012) mentioned that attitude had a positive and significant influence on the adoption of agricultural best management practices. The chains of practices created following from the previous one (also called a “foot in the door” model) could lead to the complete transformation of farming systems (Wilson et al. 2014).

The percent change in the incident rate of SAPs’ adoption is a decrease of 1% for every single year the farmer’s age *(Age)* increases. Increasing farmer age had a negative impact on the adoption of sustainable agriculture practices among Kentucky farmers. This is consistent with several other studies. Awan *et al.* (2015) found that age had a negative relationship on the adoption of sustainable agriculture practices among Indian farmers. This could be because younger farmers have positive attitudes towards sustainable practices compared to older farmers, who are relatively hesitant to change farm practices from traditional to SAPs (Baumgart-Getz et al. 2012). The incident for farmers who perceive that the technology is difficult to adopt *(Implementation Difficulty)* were 4.892 times less compared to farmers who do not perceive implementation difficulty associated with SAPs. The incident rate for farmers with inadequate knowledge of technologies *(Inadequate Knowledge)* was 0.532 times less than farmers who do not perceive inadequate knowledge to adopt SAPs. These incident rates suggest the need for extension activities, training, and education to improve the adoption of SAPs. Swinton et al. (2015) reported that due to a perception of lower profitability farmers are unwilling to adopt technologies.

Hall et al. (2009) identified implementation concerns and perceived risk of failure as two major barriers to the adoption of sustainable agriculture and recognized the importance of education and training to overcome these barriers. These barriers can be overcome through education, extension, and outreach activities (Baumgart-Getz et al. 2012; Kornegay et al. 2010). These findings validate the importance of education to reduce knowledge barriers, as well as the perceived difficulty of implementation among farmers. Carlisle (2016) also suggested that education in combination with other activities such as research and policies are essential to mitigate the adoption barriers related to soil health equipment adoption.

The incident rate of adopting SAPs for farmers living in Agriculture District 2 *(AgDist\_2)* and Agriculture District 4 *(AgDist\_4)* were 2.352 times and 1.76 times more, respectively compared to the farmers living in Agriculture District 6 *(AgDist\_6),* the reference group. However, farmers residing in Agriculture Districts 3 *(AgDist\_3)* and 5 *(AgDist\_5)* possess behavior that is the opposite compared to the above two districts. The incident rate for these farmers in agriculture districts 3 and 5 were 0.676 and 0.669 times, respectively compared to the reference group *(AgDist\_6)*. The result clearly shows that the issue of sustainability in agriculture is highly localized. Thus, the solution also should be location specific. A blanket approach to solving the problems of agriculture sustainability may not be equally valid and equally adaptive even in the same state or same country. Sustainable agriculture and sustainable agriculture practices are localized by nature and should be addressed locally. However, the solution should have a more significant impact on solving this global problem.

Districts 3 and 5 are known for having large acres of farmland and large number of farmers. Also, two major cities—Lexington and Louisville,—and the capital city, Frankfort, and other several small and medium-size growing towns lies inside this geographical region. Due to the large and growing market of agriculture commodities, farmers might be more focused on commercial farming and increased revenue. Farmers might be seeing the economic benefit associated with the farming and ignoring the sustainability aspects of agriculture as they are also responsible for unsustainable agriculture behavior not only the victim.

However, ais that are neighbor to, but not located inside the agriculture district, unlike in the agriculture districts 3 and 5, where negative impacts are coming mainly from inside agriculture districts, but not from outside.In other words, farmers from the agriculture district 4 are more victims and less generators of environment degradation. Therefore, small farmers in the agriculture district 4 might have felt the importance of (adopting) SAPs unlike farmers from agriculture districts 3 and 5, who does not. The economic benefit and income from farming weighted heavy for farmers from agriculture districts 3 and 5, unlike environmental health for farmers from agriculture district 4.

Research conducted among New Zealand dairy farmers to understand the adoption of the best management practices suggests that farmers close to each other make similar choices due to the potential for frequent interactions (Yang and Sharp, 2017). The results of the current research are partially supportive of the previous studies. Specifically, results from *agriculture districts 3* and *5* are consistent with the earlier findings since they are neighboring districts and have a similar result in the model. However, the results from agriculture districts *2* and *4* contradict previous findings. Agriculture district *2* is primarily farmland with some coal mining areas. This region is also well known for large size farm operations. A positive relationship with the adoption of sustainable agriculture practices in this region can be linked with the awareness among farmers about the negative impact of coal and coal mining sites on the environment and agricultural commodities. Also, since large enterprise and agriculture have occupied this region and has been one of the major parts of the economy for a long time, farmers in that region might have developed awareness about the importance and role of SAPs in the long-term sustainable agriculture enterprise.

This research included practices that are found to be commonly adopted by Kentucky farmers. However, there are several practices that have been adopted by Kentucky farmers, but not included in this study. Also the same practices may weight differently for different farmers based on their farming types, landscape, enterprises and interests due to site specific nature of sustainable agriculture practices (Lashagarara, 2011). For example, Zhong and Hu (2015) studied riparian buffers, fencing off animals, waste water storage which are not included in this research. However no-tillage and nutrient management from same research were included in this research. We selected sustainable agriculture practices that are generally considered as commonly adopted practices by farmers and our target research population identified through workshops and group discussions. Thus, this research provides a general perspective on the intensity of adoption, but not on the practice specific study. This research is very important in the context of Kentucky as a very few researches has been conducted focusing on this aspect of sustainable agriculture in the era when the sustainable development debate is on the peak.

1. **Conclusions**

This study explored factors affecting the intensity of the adoption of sustainable agriculture practices among Kentucky farmers using negative binomial regression. Fourteen variables representing socioeconomic, demographics, farm attributes, attitudes, knowledge, and behavior were used in the analysis. Agriculture districts were included in the model to account for geographic or regional variations on adoption intensity.

We identified several important factors impacting the adoption of sustainable practices in Kentucky. Sustainability of agriculture and food systems has been a concern for scientists for a long time. However, the adoption of such practices varies by socioeconomics, demographics, and technology adoption, which are mostly localized and geographic characteristic-specific. Farmland use, crop selection (specifically, the choice to grow row crops), a positive attitude towards diversification, farmer perception about the level of difficulty in technology adoption, and the level of education attained by the farmer are all important factors that drive a farmer’s decision to adopt sustainable practices. Difficulty in the implementation of such practices arises, entirely or partially, from inadequate knowledge. This indicates the need for more extension in outreach efforts among farmers. This study has some limitations that should be taken into consideration while interpreting the results. Also, the respondents were small-scale farmers, and thus the findings are more relevant to this group. In addition, the stratification by agricultural district reduced the sampling bias in the research; however, it is vital to take spatial variation in the analysis into account since farm operations, acreage devoted to agriculture, and sustainable practices vary among agricultural districts. For instance, eastern Kentucky has relatively less agricultural land compared to central and western Kentucky, which might have an impact on the decision making process in regards to the adoption of sustainable practices.

**Acknowledgement**

This research was funded by National Institute of Food and Agriculture (NIFA), United States Department of Agriculture, Grant Number 2014-6800621865. Small Farm Diversification in Kentucky.

**Compliance with Ethical Standards**

***Disclaimer:*** *Summaries were derived using data collected in the Kentucky State University Economics Survey by the National Agriculture Statistics Service, United States Department of Agriculture (NASS). Any interpretations and conclusion derived from the data do not necessarily represent the views of the NASS.*

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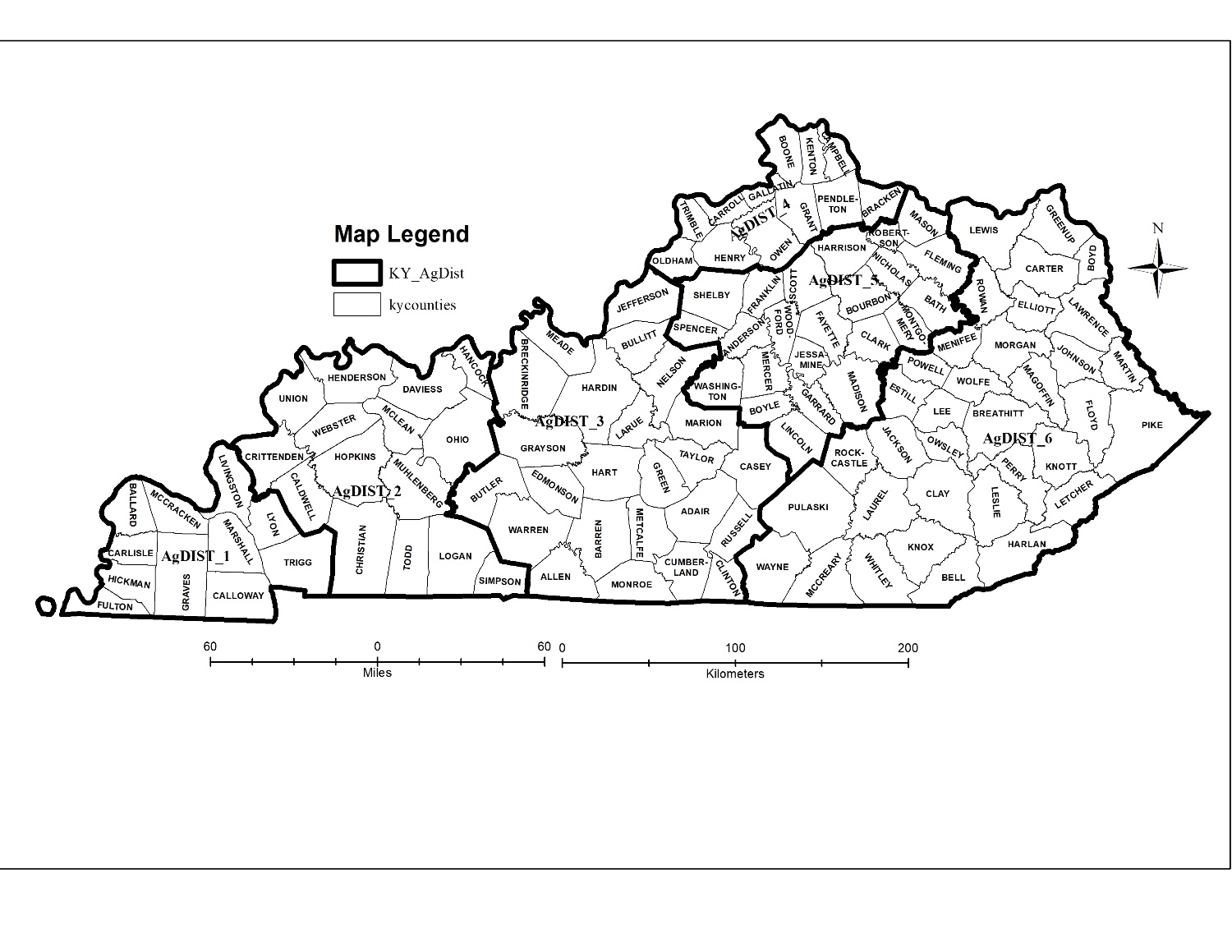


Figure 1. The State of Kentucky with the six crop growing regions and counties

Figure 2. Most Commonly Adopted Sustainable Agriculture Practices among Kentucky Farmers

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| --- |
| **Table 1: Commonly Adopted Sustainable Agriculture Practices among Kentucky Farmers** |
| ***Alley Cropping:*** Planting trees or shrubs with agronomic, horticultural or forage crops cultivated in the alleys between woody plants (Kornegay *et al.*, 2010).  ***Animal for Land Reclamation:*** Small mammals such as mouse help to loosen the mined surface which favors quick succession (Larkin *et al.,* 2008).  ***Biological Pest Control:*** Pest are suppressed by their natural enemies (Filho *et al.*, 1999).  ***Composting:*** Waste recycling technique converting waste into nutrient rich humus with high soil organic matter using microbes (Filho et al., 1999).  **Conservation Tillage:** Tillage and cultivation practice that incorporate crop residue into the field (Hobbs, Sayre, & Gupta, 2008).  **Controlled Grazing:** The grazing of animals is controlled by rotating and striping field letting field to recover before successive round of grazing (White & Wolf, 2009).  **Cover Crops and Green Manuring**: Use of legumes such as clover, vetch and non-legumes such as rye, wheat to improve soil fertility and reduce erosion and incorporate into soil as green manure (Kornegay et al., 2010).  **Crop and Livestock Production System Integration:** An integrated system where crop and livestock enterprise are combined and benefitted from each other (Kornegay et al., 2010).  **Crop Rotation:** System of rotating legumes and non-legumes crops in same field to maintain soil fertility (Kornegay et al., 2010).  **Cultural Pest Control:** Managing the crop, weed, disease and pest complex by manipulating cultural practices (Kornegay et al., 2010).  **Fallow Management:** The use of fallow period to conserve rainfall as stored soil water and reduce soil erosion (Kornegay et al., 2010).  **Farm Machinery Adjustment**: Adjustment in planting, spraying and harvesting farm machinery operation, calibration, repair, and their safety (Kornegay et al., 2010).  **Forest Stewardship:** Forest conservation and development of forest in own farm land.  **Improved Water Management:** improve irrigation facility to reduce irrigation water losses (Kornegay et al., 2010).  **Increase Biodiversity:** Diversify flora and fauna in farm (Kornegay et al., 2010).  **Integrated Pest Management:** A pest management strategy using biological, chemical and physical, cultural production cost and protect the environment (Kornegay et al., 2010).  **Land Reforming:** Forming terrace, reducing slope, and other slope stabilizing technologies to reduce surface run off of water and top soil.  **Local or Native Crops:** Locally available crops or local varieties (Kornegay et al., 2010).  **Mulching:** A shallow layer of grass or crop residues at the soil/air interface to improve soil quality and moisture retention (Filho *et al.*, 1999).  ***Multi-species Grazing:*** Grazing more than one species of livestock such as chicken, duck, goat and horse in same land (Kornegay *et al.*, 2010).  ***Poly-culture Farming:*** Different and less competitive crops grown together to optimize biomass yield and improve environmental quality (Kornegay *et al.*, 2010).  ***Precision Agriculture:*** Observation, measurement and response based farm management strategy to address inter and intra-field variability in crops and increase farm efficiency, productivity and economic returns (Kornegay *et al.*, 2010).  ***Reduced Chemical Fertilizer Use:*** Reduced in the use of chemical fertilizers (Kornegay *et al.*, 2010).  ***Reduced Chemical Pesticide Use:*** Reduce in the use of chemical pesticides (Kornegay *et al.*, 2010).  ***Reforestation:*** Reestablishing forest in barren land or farm land.  **Ridge Tillage:** Scalping and planting on ridges built during cultivation (Kornegay et al., 2010).  **Sprayer Calibration (and Application Accuracy):** Calibrate sprayers to use optimum amount of chemicals as well as other spraying inputs in farm.  **Varietal Mixture of Single Crop:** Mixing different variety of same crops. Also known as Cultivar Mixtures (Kornegay et al., 2010).  **Windbreaks and Shelterbelts:** Create wind barriers and provide shelter to crops by planting tall, dense and strong trees along the edge of farmland (Kornegay et al., 2010). |

**Table 2:** Relevant Literature cited in this Paper Summarizing their Major Findings:

|  |  |  |  |
| --- | --- | --- | --- |
| **Reference** | **SAPs Types** | **Study Area** | **Findings relevant to Paper** |
| Awan et al. (2015) | SAPs/ BMPs in Cotton. | Punjab, India. | Level of Adoption was higher among licensed farmers with the better understanding of sustainable cotton program. Education, land holding size, have positive impact whereas age and farming experiences have negative. |
| Barungi et al. (2012) | Soil Erosion Control Technologies | Eastern Uganda | Increase in access to extension service, amount of land owned, and diversity of farm tools increase technology adoption. |
| \*Baumgart-Getz (2012) | BMPs | USA | Access to and quality of information, financial capacity, connection with extension agents and farmer’s network have largest impact on adoption. |
| \*Carlisle (2016) | Soil health practices | USA | Combining education, research, policy, measure to overcome equipment barriers, and addressing farm and food system context increase the adoption of soil health practices. |
| Da Costa (2012) | Watershed Conservation | Kentucky, USA | Counties with more farms and larger farms are more likely to participate in conservation program. The adoption depends upon land characteristics of individual plots. |
| Filho et al. (1999) | Sustainable Agriculture Technologies | Espirito Santo, Brazil | The adoption increase with the increase in the awareness of negative impacts of chemicals, family labor availability, better soil condition but decrease with the increase in farm size. |
| Gillespie et al. (2007) | 16 BMPs in Cattle Industry | Louisiana, USA | Farmers does not adopt technologies because of unfamiliarity, non-applicability, high cost, preference towards technologies. Education and extension activities are important to improve adoption of BMPs. |
| Greiner et al. (2009) | BMPs reducing diffuse source pollution from agriculture land | Queensland, Australia | Understanding of farmer’s motivation, risks, and attitudes is required to improve environmental quality in agriculture sector. Farmers’ positive attitude towards environment conservation, healthy lifestyle improves adoption of BMPs. Also, external initiatives motivates economically and financially motivated farmers to adopt sustainable management technologies. |
| Hall et al. (2009) | Sustainable Floriculture Practices | USA | The concerns about the implementation (eg. easiness), and risk associated with the implement are two major important factor affecting adoption of SAPs beside location and farm size. |
| \*Kabii and Horwitz (2006) | Conservation Easement Programs |  | Landlords’ demographics, land tenure nature, knowledge and awareness about the program, financial circumstances, and participation risk perception, benefit of programs, incentives and compensation are important factors that affect the participation of conservation programs. |
| \*Knowler and Bradshaw (2007) | Conservation Agriculture |  | The variable explaining the adoption of conservation practices is also localized alike conservation practices themselves. So, policy development and planning, attempts to improve adoption should be localized to address location specific needs and demands. |
| Lashgarara (2011) | Wheat related SAPs | Lorestan, Iran | Education, social engagement, market access, use of media, extension classes, knowledge and attitudes (positive) about SAPs improves adoption. |
| Mullendore et al. (2015) | Conservation Behavior | Midwest USA | The sense of place or place attachment and the place identity have significant effect on the specific conservation behavior but not in the overall. |
| \*Prokopy et al. (2008) | Best Management Practices | United States | Education level, income, farm size, access to information, positive environmental attitudes, environmental awareness, and utilization of networking has more often positive relation with the adoption of best management practices. |
| Singer et al. (2007) | Cover Crop | US Corn Belt: IL, IN, IA, MN | Crop diversification plays an important role in the adoption of cover crops and availability of cost share program would enhance use of cover crop among corn belt farmers. |
| Wilson et al. (2014) | Nutrient Management Practice | Ohio, USA | The attitude towards the adoption of practice to improve nutrient management is driven by farmer’s attitudes, perceived risks and response towards the negative impact of nutrient losses from farm in the environment. Younger farmers are already engaged in and have more positive attitudes towards management practices. |
| Yang and Sharp (2017) | BMPs for Water Protection | Waikato, New Zealand | Farmers closer to each other has similar choice of BMPs. Availability of information is the most important factor followed by financial problems for the adoption of BMPs. Spatial effects is also an important factor in decision making towards the adoption of BMPs. |
| Zhong and Hu (2014) | BMPs via Water Quality Trading Program | Kentucky, USA | Farmers who participate in conservation program are more likely to adopt BMPs. Attitude of farmers towards BMPs and conservation practices are more important when adopting BMPs among farmers. |

|  |  |  |
| --- | --- | --- |
| Table 3. Sustainable agriculture practices adopted by farmers (N = 230) | | |
| Number of Practices | Number of Farmers | Percent |
| 0 (Not Adopted) | 150 | 65.32 |
| 1 to 7 | 51 | 22.37 |
| 8 to 14 | 22 | 9.39 |
| 15 to 21 | 5 | 2.27 |
| 22 to 28 | 2 | 0.65 |

Table 4. List of Variables and their descriptive statistics

|  |  |  |  |
| --- | --- | --- | --- |
| Variables | Variable Name | Mean | Variance |
| *Dependent variable* | |  |  |
| SAPs | Number of SAPs adopted by Farmers | 2.52 | 21.16 |
| *Independent variables* | |  |  |
| Crops | Row Crop Farmers; Yes = 1; Otherwise = 0 | 0.54 | 0.15 |
| Veggies | Vegetable Growing Farmers; Yes = 1; Otherwise = 0 | 0.16 | 0.02 |
| Livestock | Livestock Farmers; Yes = 1; Otherwise = 0 | 0.81 | 0.16 |
| Irrigation | Irrigation Facility in Farm; Yes = 1; Otherwise = 0 | 0.04 | 0.04 |
| Diverse | In Favor of Diversifying Farm; Yes = 1; Otherwise = 0 | 0.42 | 0.24 |
| Sole Proprietorship | Farm with Sole Proprietorship; Yes = 1, Otherwise = 0 | 0.75 | 0.19 |
| Off Farm | Working off Farm; Yes = 1; Otherwise = 0 | 0.49 | 0.25 |
| Age | Age (Years) | 62.85 | 149.81 |
| TBP | Participated; Yes = 1; Otherwise = 0 | 0.42 | 0.38 |
| College Degree | Formal Education: College Degree or above; Yes = 1; Otherwise= 0 | 0.20 | 0.16 |
| Land | Total Land Operated (Acres) | 169.60 | 300804.59 |
| Happy | Happy attitude (A reason for not adopting SAPs); Yes = 1; Otherwise = 0 | 0.43 | 0.25 |
| Implementation Difficulty | Perceived difficult of implementation of practices; Yes = 1; Otherwise = 0 | 0.05 | 0.05 |
| Inadequate Knowledge | A reason for not adopting SAP; Yes = 1; Otherwise = 0 | 0.15 | 0.13 |

Table 5. Parameter Estimates Obtained from a Negative Binomial Regression Model of Factors Affecting Adoption of Sustainable Agriculture Practices Among Kentucky Farmers (N = 205)

|  |  |  |  |
| --- | --- | --- | --- |
| Variables | Estimates (b) | SE | Exp (b) |
| Constant | 0.024 | 0.423 | 1.024 |
| Crops | 0.830\*\*\* | 0.121 | 2.294 |
| Veggies | 0.413\*\*\* | 0.136 | 1.511 |
| Livestock | 0.228 | 0.143 | 1.257 |
| Irrigation | 0.629\*\*\* | 0.171 | 1.876 |
| Diverse | 0.728\*\*\* | 0.100 | 2.072 |
| Sole Proprietorship | -0.084 | 0.116 | 0.920 |
| Off Farm | 0.063 | 0.120 | 1.065 |
| Age (Years) | -0.010\*\* | 0.005 | 0.990 |
| TBP | 0.251\*\* | 0.105 | 1.286 |
| College Degree | 0.740\*\*\* | 0.136 | 2.097 |
| Land | 0.000 | 0.000 | 1.000 |
| Happy | 0.004 | 0.102 | 1.004 |
| Implementation Difficulty | -1.588\*\*\* | 0.180 | 4.892 |
| Inadequate Knowledge | -0.631\*\*\* | 0.158 | 0.532 |
| AgDist\_1 | 0.225 | 0.194 | 1.253 |
| AgDist\_2 | 0.855\*\*\* | 0.163 | 2.352 |
| AgDist\_3 | -0.392\*\* | 0.161 | 0.676 |
| AgDist\_4 | 0.565\*\*\* | 0.159 | 1.760 |
| AgDist\_5 | -0.402\*\* | 0.166 | 0.669 |
| \*\*\* & \*\* = Statistically significant at 1% and 5% levels, respectively. SE is standard error. | | | |

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